

# TABB TRAINING

Learn | Grow | Succeed



## Financial Industry Training Courses

Select from an array of courses in Introductory, Intermediate, and Advanced levels  
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TABB Training | 646.747.3207 | [traininginfo@tabbgroup.com](mailto:traininginfo@tabbgroup.com)



# Financial Training Course List

## OPERATIONS

Advanced Corporate Actions Processing  
Broker-Dealer Operations Bootcamp  
Collateral Management Best Practices  
Collateral Management Fundamentals  
Corporate Actions Processing  
Equity Trade Lifecycle  
Hedge Fund Operations  
Introduction to Buy Side Securities Processing  
Mutual Fund and ETF Operations  
Repo and Securities Lending  
Securities Operations Bootcamp  
Understanding Equities Margin and SMA Accounts

## FIXED INCOME

Bond Math  
Fixed Income Masterclass  
Fixed Income Securities and Markets  
Fixed Income Trade Lifecycle  
MBS Market and Agency Pass-Through Securities  
MBS Pricing and Analytics  
Municipal Bond Market  
Structured Securities: MBS, ABS, and CDOs/CLOs  
Yield and Duration  
Yield Curves and Spreads

## COMPLIANCE

Advanced Broker-Dealer Compliance  
Broker-Dealer Compliance  
Cybersecurity for Managers  
Hedge Fund Compliance  
Mutual Fund Operation and Compliance  
SEC Customer Protection Rule 15C3-3  
SEC Net Capital Rule 15C3-1

## TRADING AND MARKET STRUCTURES

Algorithmic Trading Strategies  
Blockchain and the Future of Finance – Part I  
Blockchain and the Future of Finance – Part II  
Blockchain Essentials  
Electronic Trading  
From Cashless to Tokenized Economy  
Introduction to Capital Markets  
Investing and Trading in Crypto  
Technical Analysis  
The New Role of Data  
Understanding Market Evolution: A Scientific Approach

## DERIVATIVES AND OPTIONS

Derivatives Bootcamp  
Derivatives Trade Lifecycle  
Introduction to Forwards and Futures  
Introduction to Options  
Introduction to Swaps  
Risks in the Lifecycle of Derivatives

## RISK MANAGEMENT

Advanced Risk Management in Financial Institutions  
Auditing & Evaluating Banks' Risk Models  
BCBS 239: Best Practices in Risk Data Aggregation  
Leveraged Lending and Collateralized Loan Obligation Markets  
Operational Risk Management – A Practical Approach  
Operational Risk Management – Best Practices  
Risk Management for Non-Quants

## INVESTMENT MANAGEMENT

Evaluating Alternative Investments  
Introduction to Investment Management  
Portfolio Management

## TAX AND ACCOUNTING

Corporate Finance and Valuation Techniques  
Financial Statement Analysis

## CREDIT ANALYSIS

Counterparty Credit Risk and CVA  
Credit Portfolio Risk Management

## GLOBAL SECURITIES

Introduction to the Foreign Exchange Markets  
International Portfolio Accounting  
MIFID II Markets in Financial Instruments Directive

## BANK REGULATIONS

Bank Regulations  
Basel III and the Leveraged Ratio  
Basel III and the Liquidity Standard  
Market Risk in the Trading Book  
Migrating Away from IBOR Reference Rates  
US Basel III  
Volcker Rule: Current View of Potential Implications

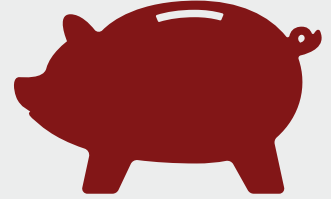
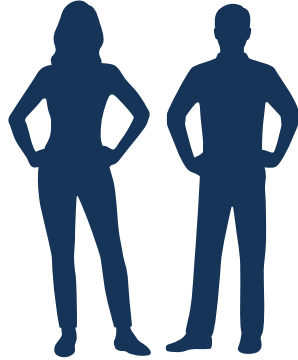
\*CLICK COURSE TITLES TO LEARN MORE

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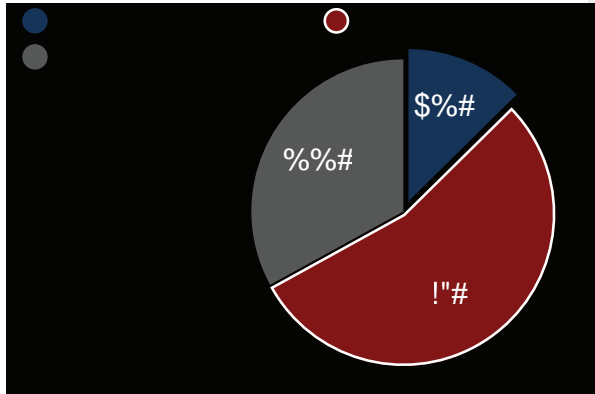
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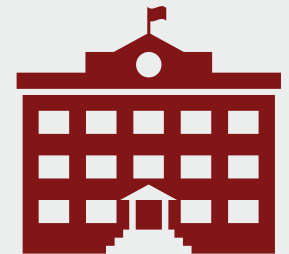
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